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Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,490	12/28/2001	Bernd Clauberg	US702364 (7790/69)

CONFIRMATION NO. 6482

FORMALITIES LETTER



OC00000007418708

BANIAK NICHOLAS PINE & GANNON
SUITE 2000
1603 ORRINGTON AVENUE
EVANSTON, IL 60201

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

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04/17/2002 WABRSHM1 00000027 501713
740.00 CH
84.00
130.00
01 FC:101
02 FC:102
03 FC:103

*A copy of this notice **MUST** be returned with the reply.*

Kurtis Quintos

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT
Case No.: US702364 (7790/69)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BERND CLAUBERG, ROBERT A. ERHARDT

Serial No.: 10/037,490

Filed: DECEMBER 28, 2001

Title: LIGHT EMITTING DIODE DRIVER

Examiner:

Group Art Unit: 2879

3

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

FILED UNDER 37 C.F.R. 1.53(b)

Filing Date Granted

Assistant Commissioner for Patents

Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts Application, Filing Date Granted, dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents to complete the filing requirements of the above-identified application.

Executed Combined Declaration and Power of Attorney

Statement Claiming Small Entity Status-(37 CFR 1.9(f) & 1.27(b)) – Independent Inventor

Charge Deposit Account No. 50-1713 in the amount of \$954 for: Missing Parts Fees

\$740 Filing fee [\$370 small entity; \$740 large entity (design \$165, \$330)]

\$84 Additional Claim Fees

\$130 Missing Parts Surcharge

Petition for Extension of Time to reply to the Notice of Missing Parts (and fee)

<input type="checkbox"/> one month	=	\$110.00	<input type="checkbox"/> three months	=	\$920.00
<input type="checkbox"/> two months	=	\$400.00	<input type="checkbox"/> four months	=	\$1,440.00

Other: 37 C.F.R. 1.33 Change of Correspondence.

The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment to Deposit Account No. 50-1713. A duplicate copy of this paper is enclosed.

Dated: APRIL 2, 2002

Respectfully submitted,

FRANK C. NICHOLAS
Registration No. 33,983

CARDINAL LAW GROUP
1603 Orrington Avenue, Suite 2000
Evanston, Illinois 60201
(847) 905-7111

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on APRIL 2, 2002.

APRIL 2, 2002
Date

FRANK C. NICHOLAS (33,983)

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TRANSMITTAL
FORM

APR 1 2 2002 (Used for all correspondence after initial filing)

Attorney Docket No.	US702364 (7790/69)
Application Number	10/037,490
Filing Date	DECEMBER 28, 2001
First Named Inventor	BERND CLAUBERG
Group Art Unit	2879
Examiner	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Amendment/Response to Restriction/Election Req.	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawings:	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input checked="" type="checkbox"/> Post Card Receipt
<input type="checkbox"/> Extension of Time Request (duplic)	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney, 37 CFR 1.33 Change of Correspondence	<input checked="" type="checkbox"/> Notice To File Missing Parts of Nonprovisional Application
<input type="checkbox"/> Information Disclosure Statement, PTO-1449, art	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/>
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request of Refund	<input type="checkbox"/>
	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1713. A duplicate copy of this sheet is enclosed.	

CALCULATION OF FEE

	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Large Entity		
					Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=	0		x \$18=	
Indep.		Minus			x \$42=	0		- x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=	---		+\$280=	
					total add'l fee	\$ 0		total add'l fee	\$ 0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 Cardinal Law Group 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date:	APRIL 2, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: APRIL 2, 2002

Signature		Date: <u>APRIL 2, 2002</u>
	FRANK C. NICHOLAS (33,983)	